

The Many Faces of Publish/Subscribe

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Abstract

Well-adapted to the loosely coupled nature of distributed interaction in large scale applications, the publish/subscribe communication paradigm has recently received an increasing attention. With systems based on the publish/subscribe interaction scheme, subscribers register their interest in an event, or a pattern of events, and are subsequently asynchronously notified of events generated by publishers. Many variants of the paradigm have recently been proposed, each variant being specifically adapted to some given application or network model. This paper factors out the common denominator underlying these variants: full decoupling of the communicating entities in time, space and synchronization. We use these three decoupling dimensions to better identify commonalities and divergences with traditional interaction paradigms. The many variations on the theme of publish/subscribe are classified and synthesized. In particular, their respective benefits and shortcomings are discussed both in terms of interfaces and implementations.

1 Introduction

The Internet has considerably changed the scale of distributed systems. Distributed systems now involve thousands of entities—potentially distributed all over the world—whose location and behavior may greatly vary throughout the lifetime of the system. These constraints visualize the demand for more flexible communication models and systems, reflecting the dynamic and decoupled nature of the applications. Individual *point-to-point* and *synchronous* communications lead to rigid and static applications, and make the development of dynamic large scale applications cumbersome. To reduce the burden of application designers, the glue between the different entities in such large scale settings should rather be provided by a dedicated middleware infrastructure, based on an adequate communication scheme.

The *publish/subscribe* interaction scheme is receiving increasing attention and is claimed to provide the loosely coupled form of interaction required in such large scale settings. Subscribers have the ability to express their interest in an event, or a pattern of events, and are subsequently notified of any event, generated by a publisher, which matches their registered interest. An event is asynchronously propagated to all subscribers that registered interest in that given event. The strength of this event-based interaction style lies in the full decoupling in *time*, *space* and *synchronization* between publishers and subscribers. Many industrial systems and research prototypes support this style of interaction, and there are several prominent research efforts on novel forms of publish/subscribe interaction schemes. However, because of the multiplicity of these systems and prototypes, it is difficult to capture their commonalities and draw sharp lines between their main variations.

The aim of this paper is threefold. First we point out the common denominators of publish/subscribe schemes: *time*, *space* and *synchronization* decoupling of subscribers and publishers. These decoupling dimensions are illustrated by comparing the publish/subscribe paradigm with “traditional” interaction schemes. Second, we compare the many variants of publish/subscribe schemes: namely, *topic-based*,

content-based and *type-based*. Third, we discuss variations and tradeoffs in the design and implementation of publish/subscribe-based systems through specific examples.

2 The Basic Interaction Scheme

The publish/subscribe interaction paradigm provides subscribers with the ability to express their interest in an event or a pattern of events, in order to be notified subsequently of any event, generated by a publisher, that matches their registered interest. In other terms, producers publish information on a software bus (an event manager) and consumers subscribe to the information they want to receive from that bus. This information is typically denote by the term *event* and the act of delivering it by the term *notification*.

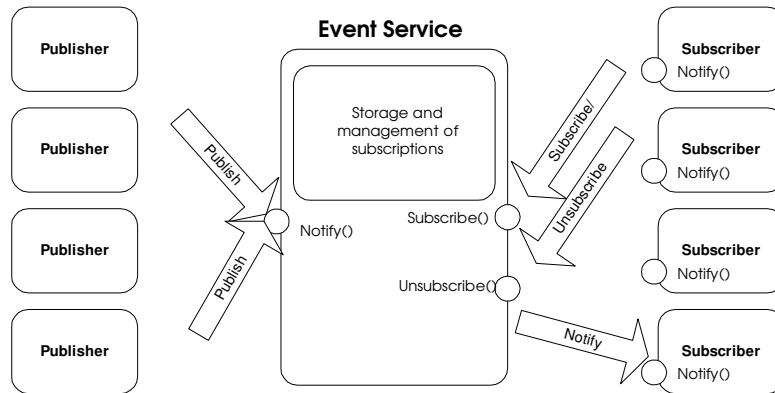


Figure 1: A simple object-based publish/subscribe system

The basic system model for publish/subscribe interaction (Figure 1) relies on an event notification service providing storage and management for subscriptions and efficient delivery of events. Such an event service represents a neutral mediator between publishers, acting as producers of events, and subscribers, acting as consumers of events. Subscribers register their interest in events by typically calling a `subscribe()` operation on the event service, without knowing the effective sources of these events. This subscription information remains stored in the event service and is not forwarded to publishers. The symmetric operation `unsubscribe()` terminates a subscription.

To generate an event, a publisher typically calls a `notify()` (or `publish()`) operation. The event service propagates the event to all relevant subscribers; it can thus be viewed as a proxy for the subscribers. Note that *every* subscriber will receive an event for *every* event conforming to its interest (obviously, failures might prevent subscribers from receiving some events). Publishers also often have the ability to advertise the nature of their future events through an `advertise()` operation. The provided information can be useful for (1) the event service to adjust itself to the expected flows of events, and (2) the subscribers to learn when a new type of information becomes available.

The decoupling that the event service provides between publishers and subscribers can be decomposed along the following three dimensions (Figure 2).

- **Space decoupling:** the interacting parties do not need to know each other. The publishers publish events through an event service and the subscribers get these events indirectly through the event service. The publishers do not usually hold references to the subscribers, neither do they know how many of these subscribers are participating in the interaction. Similarly, subscribers do not usually hold references to the publishers, neither do they know how many of these publishers are participating in the interaction.
- **Time decoupling:** the interacting parties do not need to be actively participating in the interaction at the same time. In particular, the publisher might publish some events while the subscriber is disconnected, and conversely, the subscriber might get notified about the occurrence of some event while the original publisher of the event is disconnected.

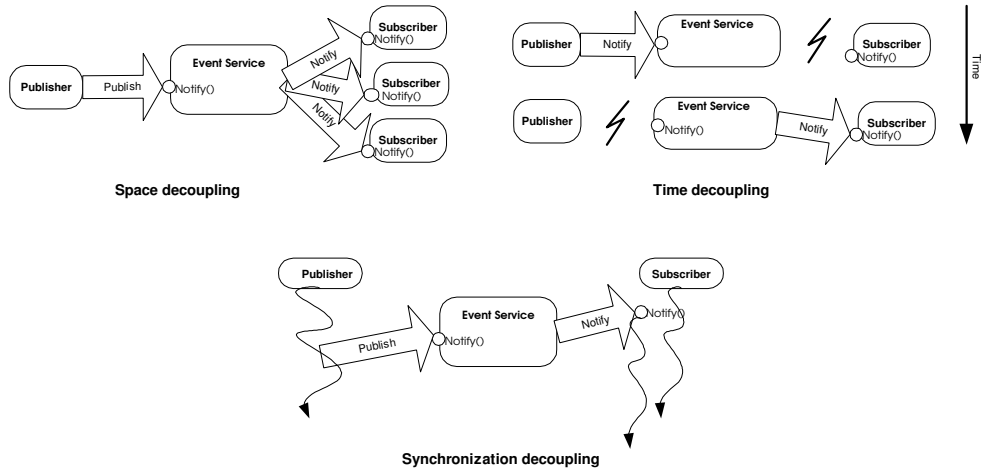


Figure 2: Space, time and synchronization decoupling with the publish/subscribe paradigm

- **Synchronization decoupling:** publishers are not blocked while producing events, and subscribers can get asynchronously notified (through a callback) of the occurrence of an event while performing some concurrent activity. The production and consumption of messages do not happen in the main flow of control of the publishers and subscribers, and do not therefore happen in a synchronous manner.

Decoupling the production and consumption of information increases scalability by removing all explicit dependencies between the interacting participants. In fact, removing these dependencies strongly reduces coordination and thus synchronization between the different entities, and makes the resulting communication infrastructure well adapted to distributed environments that are asynchronous by nature, such as mobile environment [45].

Complementary classifications of the interaction models of distributed information systems have been proposed in the literature. In [33], Franklin and Zdonik classify dissemination-based systems according to their data delivery mechanisms: push vs. pull, aperiodic vs. periodic, and unicast vs. 1-to-N. Push-based information systems have been studied extensively [41, 40]. Similar characterizations are used in software engineering [65, 36] and coordination models [54].

3 The Cousins: Alternative Communication Paradigms

Message passing, remote invocations, notifications, shared spaces and *message queuing* do all constitute alternative communication paradigms to the publish/subscribe scheme. They stand at different abstraction levels and are not easy to compare. Nevertheless, we overview below their commonalities with publish/-subscribe systems and emphasize their inability to fully decouple communication between participants.

Message passing

Message passing can be viewed as the ancestor of distributed interactions. Message passing represents a low-level form of distributed communication, in which participants communicate by simply sending and receiving messages. Although complex interaction schemes are still built on top of such primitives, message passing is nowadays rarely used directly for developing distributed applications, since physical addressing and data marshaling, and sometimes even flow control (e.g., retransmission), become visible to the application layer. Message passing is asynchronous for the producer, while message consumption is generally synchronous. The producer and the consumer are coupled both in time and space (cf. Figure 3): they must both be active at the same time and the recipient of a message is known to the sender.

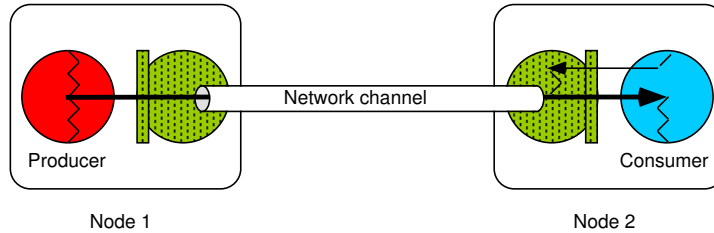


Figure 3: Message passing interaction—The producer sends messages asynchronously through a communication channel (previously set up for that purpose). The consumer receives messages by listening synchronously on that channel.

RPC

One of the most widely used forms of distributed interaction is the remote invocation, an extension of the notion of “operation invocation” to a distributed context. This type of interaction has first been proposed in the form of *Remote Procedure Call* (RPC) [12, 70] for procedural languages, and has been straightforwardly applied to object-oriented contexts in the form of remote method invocations, e.g., in Java RMI [66], CORBA [51], Microsoft DCOM [43, 21].

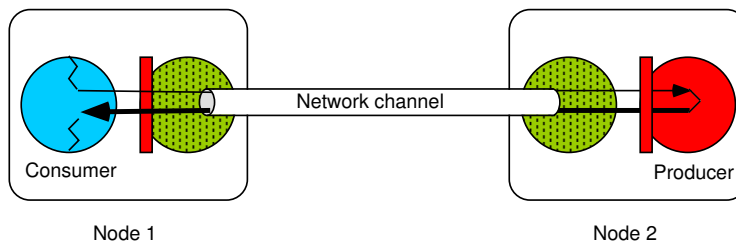


Figure 4: RPC and derivatives—The producer performs a synchronous call, which is processed asynchronously by the consumer.

By making remote interactions appear the same way as local interactions, the RPC model and its derivatives make distributed programming very easy. This explains their tremendous popularity in distributed computing. Distribution cannot, however, be made completely transparent to the application, because it gives rise to further types of potential failures (e.g., communication failures) that have to be dealt with explicitly. As shown in Figure 4, RPC differs from publish/subscribe in terms of coupling: the synchronous nature of RPC introduces a strong time, synchronization (on the consumer side¹), and also space coupling (since an invoking object holds a remote reference to each of its invokees).

Several attempts have been made to remove synchronization coupling in remote and avoid blocking the caller thread while waiting for the reply of a remote invocation. A first variant consists in providing a special flavor of asynchronous invocation for remote methods that have no return values, as shown in Figure 5 (a). For instance, CORBA provides a special *oneway* modifier that can be used to specify such methods [51]. This approach leads to invocations with weak reliability guarantees because the sender does not receive success or failure notifications (this type of interaction is often called *fire-and-forget*). The second, less restrictive variant supports return values, but does not make them directly available to the calling thread. Instead, the result of a remote invocation is a handle through which the actual return values will be accessed when needed. With this approach, known as *future* or *future type message passing* [73, 4] or *wait-by-necessity* [15], the invoking thread can continue processing and request the return value later, thanks to the handle (Figure 5 (b)).

¹The distinction between consumer and producer roles is not straightforward in RPC. We assume here that an RPC that yields a reply attributes a consumer role to the invoker, while the invokee acts as producer. As we will point out, the roles are inverted with *asynchronous* invocations (that yield no reply).

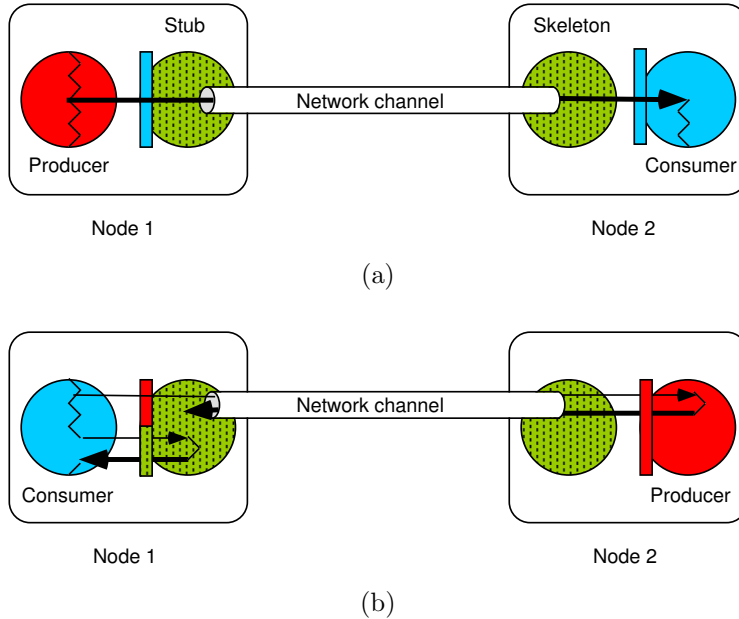


Figure 5: Decoupling synchronization with remote invocations. (a) Asynchronous invocation—The producer does not expect a reply. (b) Future invocation—The producer is not blocked and can access the reply later when it becomes available.

Notifications

In order to achieve synchronization decoupling, a synchronous remote invocation is sometimes split into two asynchronous invocations: the first one sent by the client to the server—accompanied by the invocation arguments and a callback reference to the client—and the second one sent by the server to the client to return the reply. This scheme can be easily extended to return several replies by having the server make several callbacks to the client. Such notification-based interaction is widely used to ensure consistency of Web caches [72]: upon download of Web contents, Web proxies receive a *promise* to be notified if any change occurs at the Web server. This implements a limited form of publish/subscribe interaction in which Web proxies act as subscribers and the Web server as the publisher.

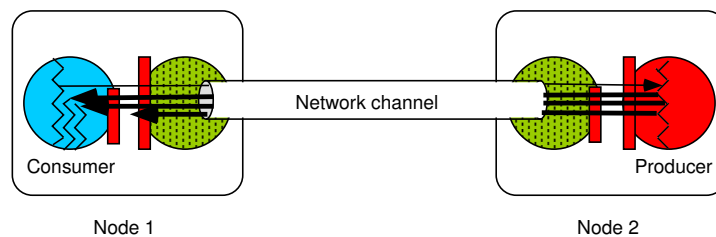


Figure 6: Notifications—Producers and consumers communicate using asynchronous invocations flowing in both directions.

This type of interaction—where subscribers register their interest directly with publishers, which manage subscriptions and send events—corresponds to the so-called *observer design pattern* [35] (Figure 6). It is generally implemented using asynchronous invocations in order to enforce synchronization decoupling. Although publishers notify subscribers asynchronously, they both remain coupled in time and in space. Furthermore the communication management is left to the publisher and can become burdensome as the system grows in size.

Shared spaces

The *distributed shared memory* (DSM) paradigm [48, 69] provides hosts in a distributed system with the view of a common shared space across disjoint address spaces, in which synchronization and communication between participants take place through operations on shared data. The notion of *tuple space* has been originally integrated at the language level in Linda [37], and provides a simple and powerful abstraction for accessing shared memory. A tuple space is composed of a collection of ordered tuples, equally accessible to all hosts of a distributed system. Communication between hosts takes place through the insertion/removal of tuples into/from the tuple space. Three main operations can be performed: `out()` to export a tuple into a tuple space, `in()` to import (and remove) a tuple from the tuple space, and `read()` to read (without removing) a tuple from the tuple space.

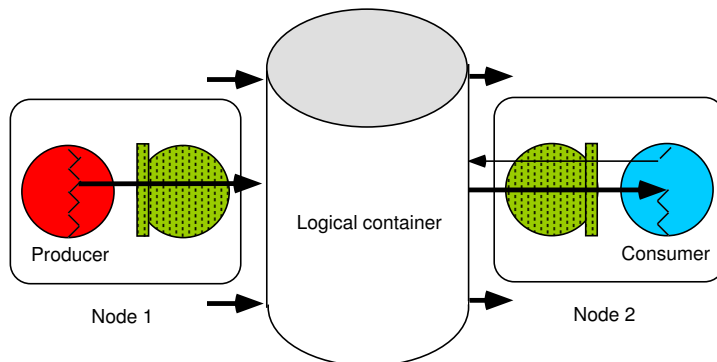


Figure 7: Shared space—Producers insert data asynchronously into the shared space, while consumers read data synchronously.

The interaction model provides time and space decoupling, in that tuple producers and consumers remain anonymous with respect to each other. The creator of a tuple needs no knowledge about the future use of that tuple or its destination. An `in`-based interaction implements *one-of-n* semantics (only one consumer reads a given tuple) whereas `read`-based interaction can be used to implement *one-to-n* message delivery (a given tuple can be read by all consumers). Unlike the publish/subscribe paradigm, the DSM model does not provide synchronization decoupling because consumers pull new tuples from the space in a synchronous style (Figure 7). This limits the scalability of the model due to the required synchronization between the participants. To compensate the lack of synchronization decoupling, some modern tuple space systems like JavaSpaces [67], TSpaces [46], and WCL [59] extend the Linda tuple space model with asynchronous notifications.

A similar communication abstraction, called *rendezvous*, has been introduced in the Internet Indirection Infrastructure (I3) [64]. Instead of explicitly sending a packet to a destination, each packet is associated with an identifier; this identifier is then used by the receiver to obtain delivery of the packet. This level of indirection decouples the act of sending from the act of receiving.

Message queuing

Message queuing [13] is a more recent alternative for distributed interaction. In fact, the term message queuing is often used to refer to a family of products (e.g., [22, 44, 25, 53]) rather than to a specific interaction scheme. Message queuing and publish/subscribe are tightly intertwined: message queuing systems usually integrate some form of publish/subscribe-like interaction. Such message-centric approaches are often referred to as *Message-Oriented Middleware* (MOM) [7].

At the interaction level, message queues recall much of tuple spaces: queues can be seen as global spaces, which are fed with messages from producers. From a functional point of view, message queuing systems additionally provide transactional, timing, and ordering guarantees not necessarily considered by tuple spaces.

In message queuing systems, messages are concurrently pulled by consumers with *one-of-n* semantics similar to those offered by tuple spaces through the `in()` operation (Figure 8). These interaction model

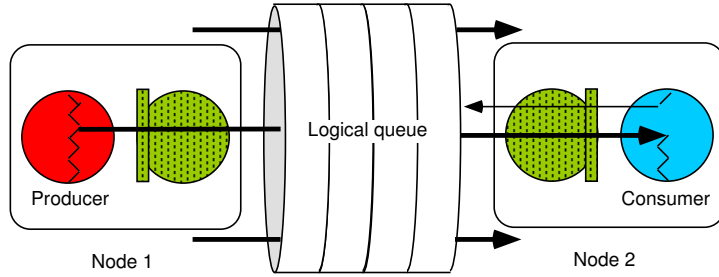


Figure 8: Message queuing—Messages are stored in a FIFO queue. Producers append messages asynchronously at the end of the queue, while consumers dequeue them synchronously at the front of the queue.

Abstraction	Space de-coupling	Time de-coupling	Synchronization decoupling
Message Passing	No	No	Producer-side
RPC/RMI	No	No	Producer-side
Asynchronous RPC/RMI	No	No	Yes
Future RPC/RMI	No	No	Yes
Notifications (Observer D. Pattern)	No	No	Yes
Tuple Spaces	Yes	Yes	Producer-side
Message Queuing (Pull)	Yes	Yes	Producer-side
Publish/Subscribe	Yes	Yes	Yes

Table 1: Decoupling abilities of interaction paradigms

is often also referred to as *Point-To-Point* (PTP) queuing. Which element is retrieved by a consumer is not defined by the element’s structure, but by the order in which the elements are stored in the queue (generally FIFO or priority-based order).

Similarly to tuple spaces, producers and consumers are decoupled in both time and space. As consumers synchronously pull messages, message queues do not provide synchronization decoupling. Some message-queuing systems offer limited support for asynchronous message delivery, but these asynchronous mechanisms do not scale well to large populations of consumers because of the additional interactions needed to maintain transactional, timing, and ordering guarantees.

Summary

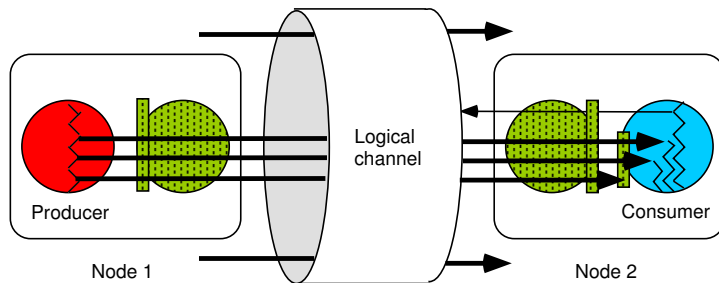


Figure 9: The publish/subscribe interaction paradigm decouples consumers and producers in terms of space, time, and synchronization.

Traditional interaction paradigms essentially differ from publish/subscribe communication (Figure 9)

by their limited support for time, space and synchronization decoupling. Table 1 summarizes the decoupling properties of the aforementioned communication models.

4 The Siblings: Publish/Subscribe Variations

Subscribers are usually interested in particular events or event patterns, and *not* in all events. The different ways of specifying the events of interest have led to several subscription schemes. In this section we compare the two most widely used schemes, namely *topic-based* and *content-based* publish/subscribe, as well the recently proposed *type-based* subscription scheme.

Topic-based publish/subscribe

The earliest publish/subscribe scheme is based on the notion of *topics* or *subjects*, and is implemented by many industrial strength solutions (e.g., [2, 23, 63, 71]). It extends the notion of channels, used to bundle communicating peers, with methods to characterize and classify event content. Participants can publish events and subscribe to individual topics, which are identified by *keywords*. Topics are strongly similar to the notion of *groups*, as defined in the context of *group communication* [56] and often used for replication [9]. This similarity is not surprising, since some of the first systems to offer publish/subscribe interaction were based on the Isis [10] group communication toolkit and the subscription scheme was thus inherently based on groups. Consequently, subscribing to a topic T can be viewed as becoming member of a group T , and publishing an event on topic T translates accordingly into broadcasting that event among the members of T . Although groups and topics are similar abstractions, they are generally associated to different application domains: groups are used for maintaining strong consistency between the replicas of a critical component in a LAN, whereas topics are used to model large scale distributed interactions.

In practice, topic-based publish/subscribe systems introduce a programming abstraction which maps individual topics to distinct communication channels. They present interfaces similar to those of the event service of Section 2, and the topic name is usually specified as an initialization argument. Every topic is viewed as an event service of its own, identified by a unique name, with an interface offering `notify()` and `subscribe()` operations.

The topic abstraction is easy to understand, and enforces platform interoperability by relying only on strings as keys to divide the event space. Additions to the topic-based scheme have been proposed by various systems. The most useful improvement is the use of *hierarchies* to orchestrate topics. While group-based systems offer *flat addressing*, where groups represent disconnected event spaces, nearly all modern topic-based engines offer a form of *hierarchical addressing*, which permits programmers to organize topics according to containment relationships. A subscription made to some node in the hierarchy implicitly involves subscriptions to all the subtopics of that node. Topic names are generally represented with a URL-like notation and introduce a hierarchy very similar to the USENET news. Most systems allow topic names to contain *wildcards*, first introduced in TIBCO Rendezvous [71], which offer the possibility to subscribe and publish to several topics whose names match a given set of keywords, like an entire subtree or a specific level in the hierarchy.

Consider the example of stock quotes disseminated to a large number of interested brokers. In a first step, we are interested in buying stocks, advertised by *stock quote* events. Such events consist of five attributes: a global identifier, the name of the company, the price, the amount of stocks, and the identifier of the selling trader. Figure 10 (a) shows how to subscribe to all stock quotes, and Figure 10 (b) gives an overview of the resulting distributed interaction.

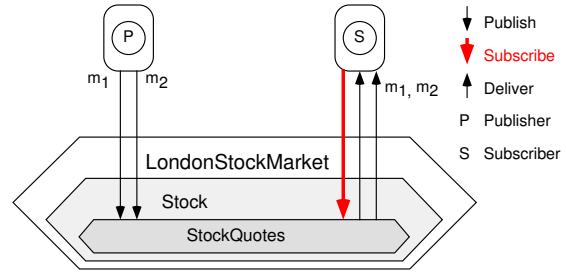
Content-based publish/subscribe

Despite improvements like hierarchical addressing facilities and wildcards, the topic-based publish/subscribe variant represents a static scheme which offers only limited expressiveness. The *content-based* (or *property-based* [58]) publish/subscribe variant improves on topics by introducing a subscription scheme based on the actual content of the considered events. In other terms, events are not classified according to some pre-defined external criterion (e.g., topic name), but according to the properties of the events themselves. Such properties can be internal attributes of data structures carrying events, as in Gryphon [6], Siena [16], Elvin [61], and Jedi [24], or meta-data associated to events, as in the Java Messaging Service [38].


```

public class StockQuote implements Serializable {
    public String id;
    public String company;
    public float price;
    public int amount;
    public String traderId;
}
public class StockQuoteSubscriber implements Subscriber {
    public void notify(Object o) {
        System.out.println("GOT OFFER");
        System.out.println("Company: " + ((StockQuote)o).company);
        System.out.println("Price: " + ((StockQuote)o).price);
    }
}
// ...
Topic quotes = EventService.connect
    ("/LondonStockMarket/Stock/StockQuotes");
Subscriber sub = new StockQuoteSubscriber();
quotes.subscribe(sub);

```



(a)

(b)

Figure 10: Topic-based publish/subscribe. (a) Sample code for topic-based subscribing. (b) Topic-based interactions.

Consumers subscribe to selective events by specifying filters using a subscription language. The filters define constraints, usually in the form of *name-value* pairs of properties and basic comparison operators ($=$, $<$, \leq , $>$, \geq), which identify valid events. Constraints can be logically combined (and, or, etc.) to form complex *subscription patterns*. Some systems, like the Cambridge Event Architecture (CEA) [5], also provide for *event correlation*: participants can subscribe to logical combinations of elementary events and are only notified upon occurrence of the composite events. Subscription patterns are used to identify the events of interest for a given subscriber and propagate events accordingly. For subscribing, a variant of the `subscribe()` operation is provided by the event service, with an additional argument representing a subscription pattern. There are several means of representing such patterns:

- **String**: Subscription patterns are most frequently expressed using strings. Filters must conform to a subscription grammar, such as SQL [38, 53, 47], OMG’s Default Filter Constraint Language [52], XPath [3, 20, 27], or some proprietary language [6, 17, 60]. Strings are then parsed by the engine.
- **Template object**: Inspired by tuple-based matching, JavaSpaces [34] adopts an approach based on template objects. When subscribing, a participant provides an object t , which indicates that the participant is interested in every event that conforms to the type of t and whose attributes all match the corresponding attributes of t , except for the ones carrying a wildcard (`null`).
- **Executable code**: Subscribers provide a predicate object able to filter events at runtime. The implementation of that object is usually left to the application developer. An alternative approach, based on a library of filter objects implemented using reflection, is described in [28]. Executable code is not widely used in practice because the resulting filters are extremely hard to optimize, and they must generally be applied to each event sequentially, leading to poor scalability.

Figures 11 (a) and 11 (b) illustrate the use of string-based filters. The example outlines how a content-based scheme enforces a finer granularity than a static scheme based on topics. To achieve the same functionality with topics, the subscriber would either have to filter out irrelevant events, or topics would need to be split into several subtopics—one for each company (and recursively several subtopics for different price “categories”). The first approach leads to an inefficient use of bandwidth, while the second approach results in a high number of topics and an increased risk of redundant events.

Type-based publish/subscribe

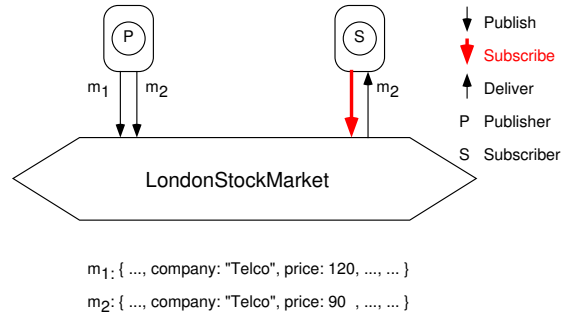
Topics usually regroup events that present commonalities not only in content, but also in structure. This observation has led to the idea of replacing the name-based topic classification model by a scheme that filters events according to their type [30]. In other terms, the notion of event *kind* is directly matched with

```

public class StockQuote implements Serializable {
    public String id;
    public String company;
    public float price;
    public int amount;
    public String traderId;
}
public class StockQuoteSubscriber implements Subscriber {
    public void notify(Object o) {
        System.out.println("GOT OFFER for " +
            ((StockQuote)o).price);
    }
}
// ...
String criteria = ("company == 'Telco' and price < 100");
Subscriber sub = new StockQuoteSubscriber();
EventService.subscribe(sub, criteria );

```

(a)



(b)

Figure 11: Content-based publish/subscribe. (a) Sample code for content-based subscribing. (b) Content-based interactions.

that of event *type*. This enables a closer integration of the language and the middleware. Moreover, type safety can be ensured at compile-time by parameterizing the resulting abstraction interface by the type of the corresponding events (without any type cast in the resulting code). In contrast, the aforementioned template-based approach of JavaSpaces [34] considers the type of events as a dynamic property, and the resulting JavaSpace API enforces the application to perform explicit type casts. Similarly, the TAO CORBA Event Service [39] does not view the type of an event object as an implicit attribute.

The example in Figure 12 (a) illustrates type-based subscription. Stock events can be split into two distinct types: *stock quotes* (for sale) and *stock requests*, as shown in Figure 12 (b). Brokers use stock requests to express their interest in *buying* stock. In contrast to quotes, requests have a range of possible prices. Subtyping can be used to subscribe to both stock quotes and requests.

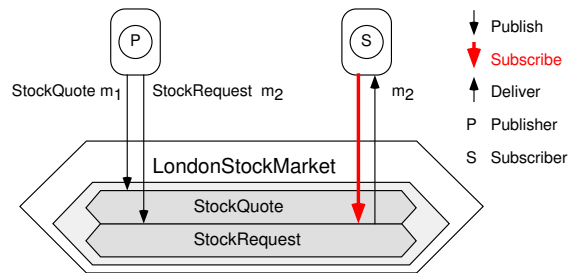
It is important to notice that type-based publish subscribe can lead to a natural description of content-based filtering through public members of the considered event type, while ensuring the encapsulation of these events. This can be achieved in our example of Figure 12 by declaring only private data members and enforcing their access through public methods.

```

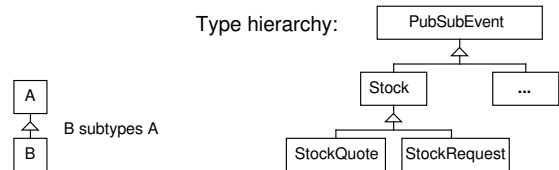
public class PubSubEvent implements Serializable {
    public String id;
}
public class Stock extends Event {
    public String company;
    public int amount;
    public String traderId;
}
public class StockQuote extends Stock {
    public float price;
}
public class StockRequest extends Stock {
    public float minPrice;
    public float maxPrice;
}
public class StockSubscriber implements Subscriber<Stock> {
    public void notify(Stock s) {
        System.out.println("Trader " + s.traderId);
        System.out.println(" deals with " + s.company);
    }
}
// ...
Subscriber<Stock> sub = new StockSubscriber();
EventService.subscribe<Stock>(sub);

```

(a)



Type hierarchy:



(b)

Figure 12: Type-based publish/subscribe. (a) Sample code for type-based subscribing. (b) Type-based interactions.

Summary

There exists several variants for designing publish/subscribe systems, which offer different degrees of expressiveness and, as we shall see in the next section, different performance overhead. Topic-based publish/subscribe is rather static and primitive, but can be implemented very efficiently. On the other hand, content-based publish/subscribe is highly expressive, but requires sophisticated protocols that have higher runtime overhead. Because of this additional overhead encourages, one should generally prefer a static scheme whenever a primary property ranges over a limited set of possible discrete values, e.g., stock quotes/requests. As outlined in [28], additional expressiveness can be achieved by applying content-based filters in the context of statically-configured topics, to express constraints on properties that are not within discrete ranges (e.g., stock prices).

5 The Incarnations: Implementation Issues

This section discusses some implementation issues underlying publish/subscribe schemes, and how these issues are addressed in current systems and prototypes. We focus on three major aspects of publish/subscribe middleware: the events, the media, and qualities of service, in the context of the classification introduced in the previous sections. Furthermore, we discuss the different tradeoffs that result from different approaches, in terms of flexibility, reliability, scalability, and performance. Additional details on specific implementation issues of publish/subscribe systems can be found in [58, 7, 68].

Events

Events are found in two forms: messages or invocations. In the first case, events are delivered to a subscriber through a single generic operation (e.g., `notify()`), while in the second case events trigger the execution of specific operations of the subscriber.

Messages. At the lowest level, any data that goes on the network is a message. In most systems, event notifications take the form of messages, which are explicitly created by the application. Messages are generally made of a header that contains message-specific information in a generic format, and payload data that contains user-specific information. Typical header fields include message identifier, issuer, priority, or expiration time, which can be interpreted by the system or purely serve as information for the consumers. Some systems (e.g., IBM MQSeries [47] and Oracle Advanced Queuing [53]) do not make any assumption on the type of the payload data and treat it as an opaque array of bytes. Some other systems (e.g., JMS [38], CORBA Notification Service [52]) provide a set of message types, such as text or XML messages. Finally, some systems provide self-describing messages. TIBCO Rendezvous [71], for instance, defines a message format that does not have header information, but allows the programmer to create his own message structure based on a set of basic types that can be structured hierarchically. The type of messages can be queried later at runtime. DAC [29] and JMS [38] even support object messages, where the event can be any serializable Java object. In most cases, messages are viewed as records with several fields.

Invocations. At a higher level, we generally differentiate between *invocations* and *messages*. An invocation is directed to a specific type of object, and has well-defined semantics. The system ensures that all consumers have a matching interface for processing the invocation. The interface acts as a binding contract between the invoker and the invokees. Systems which offer invocation-style interaction along with different semantics and various addressing schemes are usually termed *messaging* systems. They incorporate additional logic on top of a publish/subscribe or message queuing system to transform low-level messages into invocations to methods of the subscribers, which must all be of the same type. While certain systems take into account return values of invocations, the typed publish/subscribe models of COM+ [62] or the CORBA Event Service [50] typically only consider one-way invocations. Producers invoke operations on some intermediary object (e.g., event channel) that exhibits the same interface as the actual consumers and forwards events to all registered consumers. COM+ furthermore provides a form of content-based filtering, by offering the possibility to specify values for invocation arguments in order to restrict the potential invocations.

The Media

The transmission of data between producers and consumers is the task of the middleware medium. Media can be classified according to characteristics like their architecture or the guarantees they provide for the data, such as persistence or reliability.

Architectures. The role of publish/subscribe systems is to permit the exchange of events between producers and consumers in an asynchronous manner. Asynchrony can be implemented by having producers send messages to a specific entity that stores them, and forwards them to consumers on demand. We call this approach a *centralized* architecture because of the central entity that stores and forwards messages. This approach is adopted by queuing systems like IBM MQSeries [47] and Oracle Advanced Queuing [53], which are built on top of a centralized database. Applications based on such systems have strong requirements in terms of reliability, data consistency, or transactional support, but do not need a high data throughput. Examples of such applications are electronic commerce or banking applications.

Asynchrony can also be implemented by using smart communication primitives that implement store and forward mechanisms both in the producer's and consumer's processes, so that communication appears asynchronous and anonymous to the application without the need for an intermediary entity. We call this approach a *distributed* architecture because there is no central entity in the system. TIBCO Rendezvous [71] uses a decentralized approach in which no process acts as a bottleneck or a single point of failure. Such architectures are well suited for fast and efficient delivery of transient data, which is required for applications like stock exchange or multimedia broadcasting.

An intermediate approach, adopted by Gryphon [6], Siena [16], and Jedi [24], consists in implementing the event notification service as a distributed network of servers. In contrast to completely decentralized systems, this approach discharges the participating processes by using dedicated servers to execute the complex protocols required for persistence, reliability or high-availability, as well as content-based filtering and routing. There are different topologies for these servers. Jedi's *event dispatchers* are organized in a hierarchical structure, where clients can connect to any node. Subscriptions are propagated upwards the tree of servers. Such hierarchical topologies tend, however, to heavily load the root servers, and the failure of a server might disconnect the entire subtree. In Gryphon, a graph summarizing the common interests of subscribers is superimposed with the *message broker* graph, to avoid redundant matches. Siena uses subscription and advertisement forwarding to set the paths for notifications. *Event servers* keep track of useful information to efficiently match events with subscriptions. Several server topologies have been considered, each with respective advantages and shortcomings.

Dissemination. The actual transmission of data can happen in various ways. In particular, data can be sent using point-to-point communication primitives, or using hardware multicast facilities like IP multicast [26]. The choice of the communication mechanism depends on factors such as the target environment and the architecture of the system.

Centralized approaches like certain message queuing systems are likely to use point-to-point communication primitives between producers/consumers and the centralized broker. As already mentioned, these systems focus more on strong guarantees than on high throughput and scalability. Topic-based publish/subscribe systems can straightforwardly benefit from the vast amount of studies on group communication [56] and the resulting protocols to disseminate events to subscribers. To ensure high throughput, IP multicast or a wide range of reliable multicast protocols [32, 42, 49, 18, 8, 57, 74] are commonly employed.

Efficient multicast of events in content-based publish/subscribe systems remains an issue. Gryphon and Siena both use algorithms [1, 17] that deliver events to a logical network of servers in such a way that an event is propagated only to the servers that manage subscribers interested by that event. The performance of such dissemination-based systems is strongly affected by the cost of event filtering on each of the server, which directly depends on the number of subscription in the system. Highly-efficient and scalable algorithms have been recently proposed for filtering data in publish/subscribe systems [3, 55, 31, 14, 20, 27]. The problem of aggregating subscriptions to increase the filtering speed at each server, at the price of a small loss in precision, has been studied in [19]. Irrespective of the filtering techniques, the selective event routing inherent to content-based publish/subscribe makes the exploitation of network-level multicast primitives difficult.

Qualities of service

The guarantees provided by the medium for every message varies strongly between the different systems. Among the most common qualities of service considered in publish/subscribe, we have persistence, transactional guarantees and priorities.

Persistence. In RPC-like systems, a method invocation is by definition a transient event. The lifetime of a remote invocation is short and, if the invokee does not get a reply after a given period of time, it may re-issue the request. The situation is different in publish/subscribe or queuing systems. Messages may be sent without generating a reply, and they may be processed hours after having been sent. The communicating parties do not control how messages are transmitted and when they are processed. Thus, the messaging system must provide guarantees not only in terms of reliability, but also in terms of durability of the information. It is not sufficient to know that a message has reached the messaging system that sits between the producers and consumers; we must get the guarantee that the message will not be lost upon failure of that messaging system.

Persistence is generally present in publish/subscribe systems that have a centralized architecture and store messages until consumers are able to process them. Queuing systems like IBM MQSeries [47] and Oracle Advanced Queuing [53] offer persistence using an underlying database. Distributed publish/subscribe systems do not generally offer persistence since messages are directly sent by the producer to all subscribers. Unless the producer keeps a copy of each message, a faulty subscriber may not be able to get missed messages when recovering. TIBCO Rendezvous [71] offers a mixed approach, in which a process may listen to specific subjects, store messages on persistent storage, and re-send missed messages to recovering subscribers. The Cambridge Event Architecture [5] provides a potentially distributed event repository for event storage and efficient retrieval (with searching facilities for simple and composite events) that enables the replaying of stored sequences of events.

Priorities. Like persistence, message prioritization is a quality of service offered by some messaging systems. Indeed, it may be desirable to sort the messages waiting to be processed by a consumer in order of priority. For instance, a real-time event may require immediate reaction (e.g., failure notification) and should be processed before other messages.

Priorities affect messages that are *in transit*, i.e., not being processed. Runtime execution priorities are handled by the application scheduler and are not managed by the messaging system. In particular, this implies that two subscribers listening to the same topics may process messages in different orders because they process messages at different speeds, even though communication channels are FIFO. Priorities should be considered as a best-effort quality of service (unlike persistence).

Most publish/subscribe messaging systems (centralized or distributed) provide priorities, although the number of priorities and the way they are applied differ. IBM MQSeries [47], Oracle Advanced Queuing [53], TIBCO Rendezvous [71] and the JMS specification [38] all support priorities.

Transactions. Transactions are generally used to group multiple operations in atomic blocks that are either completely executed, or not at all. In messaging systems, transactions are used to group messages into atomic units: either a complete sequence of messages is sent (received), or none of them is. For instance, a producer that publishes several semantically-related messages may not want consumers to see a partial (inconsistent) sequence of messages if it fails during emission. Similarly, a mission-critical application may want to consume one or several messages, process them, and then only commit the transaction. If the consumer fails before committing, all messages are still available for re-processing after recovery.

Due to their tight integration with databases, IBM MQSeries [47] and Oracle Advanced Queuing [53] provide a wide range of transactional mechanisms. JMS [38] and TIBCO Rendezvous [71] also provide transaction support for grouping messages in the context of a single session. JavaSpaces [34] provides lightweight transactional mechanisms to guarantee atomicity of event production and consumption. An event published in a JavaSpace in the context of a transaction is not visible outside the transaction until it is committed. Similarly, a consumed event is not removed from a JavaSpace until the enclosing transaction commits. Several events can be produced and consumed in the context of the same transaction.

Reliability. Reliability is an important feature of distributed information systems. It is often necessary to have strong guarantees about the reliable delivery of information to one or several distributed entities. Because of the loose synchronization between producers and consumers of information, implementing reliable event propagation (“guaranteed delivery”) is challenging.

Centralized publish/subscribe systems generally use reliable point-to-point channels to communicate with publishers and subscribers, and keep copies of events on stable storage. Events are therefore reliably delivered to all subscribers, although a failure of the centralized event broker may delay delivery.

Systems based on an overlay network of distributed event brokers often use reliable protocols to propagate events to all or a subset of the brokers. Protocols based on group communication [56] and reliable application-layer multicast [32, 42, 49, 18, 8, 57, 74] are good candidates as they are resilient to the failure of some of the brokers. Individual publishers and subscribers generally communicate with the nearer broker using point-to-point communication channels.

Finally, systems that let publishers and subscriber communicate directly with each other, such as TIBCO Rendezvous [71], also use lightweight reliable multicast protocols. As events are generally not kept in the system for failed or disconnected (time-decoupled) subscribers, guaranteed delivery must be implemented by deploying dedicated processes that store events and replay them to requesting subscribers.

6 Concluding Remarks

Publish/subscribe is a distributed interaction paradigm well adapted to the deployment of scalable and loosely-coupled systems. To survey and compare distributed event-based abstractions, we have introduced a classification based on three dimensions: the decoupling in *time*, *space* and *synchronization* between producers and consumers of information. Decoupling is a desirable property because it enforces scalability at the *abstraction level*, by allowing participants to operate independently of one another. At the *implementation level* however, scalability remains a sensitive issue, because publish/subscribe interaction can be build on top of various communication substrates and can easily be hampered by an inappropriate architecture, in particular when publish/subscribe systems are built on top of infrastructures that were not designed with scalability in mind.

Scalability also often conflicts with other desirable properties. For instance, highly expressive and selective subscriptions require complex and expensive filtering and routing algorithms, and thus limit scalability. Similarly, strong reliability guarantees involve important overheads, because events must be logged, and missed events must be detected and retransmitted. Even protocols developed especially for wide-area networks, such as the sender-reliable *Reliable Multicast Transport Protocol* (RMTP) [49], do not scale well to large numbers of subscribers because of the considerable amount of traffic resulting from message acknowledgments.

Recently, probabilistic protocols have received increasing attention since they match the decoupled and *peer-based* nature of publish/subscribe systems. Instead of providing *deterministic* (guaranteed) reliability, probabilistic multicast protocols ensure that a given event will reach all subscribers with a very high and quantifiable probability [11]. Integration of such probabilistic protocols in content-based publish/subscribe systems remains a challenging issue.

While programming abstractions for publish/subscribe are plentiful, designing appropriate algorithms for deploying such systems in a large scale is still an open issue, and trade-offs must be dealt with to cope with scalability, expressiveness and quality of service. Significant research efforts remain to be invested, in particular as tribute to the unpredictability of the Internet.

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